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QUALIFICATION AND VALIDATION MANUAL FOR STERILE DRUG MANUFACTURING

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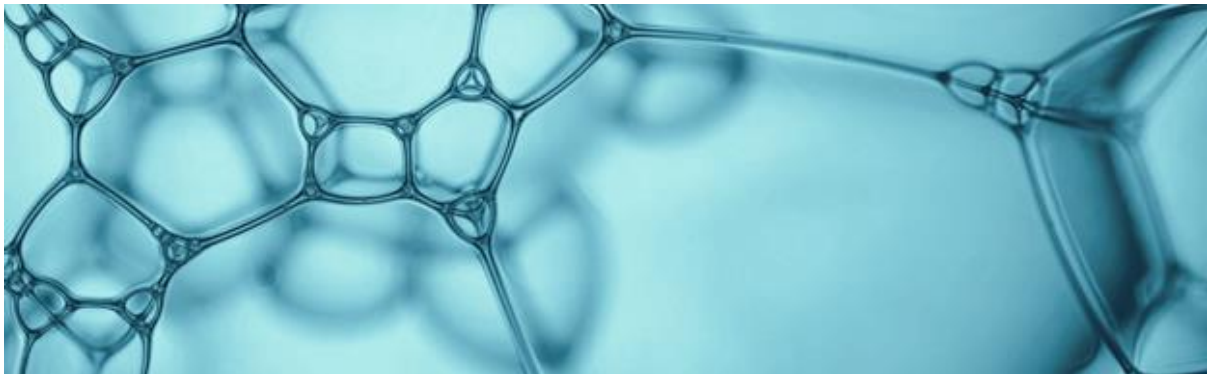


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QUALIFICATION AND VALIDATION MANUAL FOR STERILE DRUG MANUFACTURING

Introduction

Qualification and validation are essential processes in the manufacturing of sterile pharmaceuticals to ensure that the equipment, systems, and facilities used are fit for their intended purpose. Sterile pharmaceuticals are sensitive to contaminants and must be produced in a controlled and sterile environment to ensure their safety and efficacy. Qualification and validation processes provide assurance that the equipment, systems, and facilities used in the manufacturing process are appropriately designed, installed, and operating correctly to produce a safe and effective product.

The qualification process involves testing and documenting that the equipment, systems, and facilities meet their intended design specifications and operate correctly. The validation process involves testing and documenting that the manufacturing process consistently produces a product of the required quality.

Qualification and validation for sterile pharmaceutical equipment, systems, and facilities are critical to the pharmaceutical industry and are required by regulatory authorities such as the FDA (Food and Drug Administration) and EMA (European Medicines Agency). Failure to comply with these requirements can result in costly product recalls, regulatory action, and damage to the company's reputation.

In summary, qualification and validation are essential processes that ensure the safety and efficacy of sterile pharmaceutical products. They provide assurance that the equipment, systems, and facilities used in the manufacturing process are appropriately designed, installed, and operating correctly to produce a safe and effective product.

Qualification and Validation Definition

In the context of manufacturing, qualification and validation are two related but distinct concepts.

Qualification refers to the process of demonstrating that equipment, facilities, systems, and processes are suitable for their intended use and meet the necessary requirements. This involves a series of tests and procedures to ensure that the equipment, facilities, systems, and processes are functioning correctly and consistently, and that they can produce the desired results. Qualification can include installation qualification (IQ), operational qualification (OQ), and performance qualification (PQ).

Validation, on the other hand, refers to the process of establishing documented evidence that a process or system will consistently produce a product or result that meets the predetermined specifications and quality attributes. This involves a series of tests and procedures to ensure that the process or system is capable of producing the desired results consistently, and that the results meet the necessary quality standards. Validation typically includes process validation, which involves establishing the appropriate parameters for a specific process, and product validation, which involves testing the final product to ensure that it meets the necessary quality standards.

In summary, qualification is the process of demonstrating that equipment, facilities, systems, and processes are suitable for their intended use, while validation is the process of establishing documented evidence that a process or system will consistently produce a product or result that meets the predetermined specifications and quality attributes. Both qualification and validation are critical components of ensuring that manufacturing processes and products meet the necessary quality standards.

How to establish requalification or validation frequency ?

The frequency for requalification or validation in pharmaceutical manufacturing should be established based on a risk-based approach that considers the criticality of the equipment, facilities, systems, and processes involved.

Here are some general guidelines that can be followed:

- a) Determine the criticality: First, determine the criticality of the equipment, facilities, systems, and processes involved in the manufacturing process. This can be done by assessing the impact of any failures or deviations on the quality, safety, or efficacy of the final product.
- b) Define the requirements: Based on the criticality assessment, define the specific requirements for requalification or validation. This can include the frequency of

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requalification or validation, the types of tests and procedures that need to be conducted, and the acceptance criteria that need to be met.

- c) **Develop a risk-based approach:** Develop a risk-based approach that considers the likelihood and impact of any failures or deviations. This can include factors such as the complexity of the equipment or process, the frequency of use, and the historical performance data.
- d) **Establish a schedule:** Based on the risk-based approach, establish a schedule for requalification or validation. This should be documented in a master validation plan (MVP) or equivalent document.
- e) **Monitor and review:** Monitor the performance of the equipment, facilities, systems, and processes on an ongoing basis, and review the requalification or validation schedule periodically to ensure that it remains appropriate.

It's worth noting that the specific frequency for requalification or validation may vary depending on the organization and the requirements involved. It's important to follow the relevant regulations and guidelines, as well as any internal procedures or guidelines that are in place.

Lyophiliser

Working Principle

A pharmaceutical Lyophiliser, also known as a freeze dryer, is a specialized piece of equipment used in the pharmaceutical industry to remove moisture from pharmaceutical products while preserving their integrity. The primary function of a Lyophiliser is to convert a liquid product into a dry and stable form, which can be stored and transported more easily.

The working principle of a pharmaceutical Lyophiliser is based on a process called sublimation, which involves the conversion of water from a solid (ice) to a gas (water vapor) without passing through a liquid state. The Lyophiliser works by lowering the temperature of the product to be dried and then applying a vacuum to create a low-pressure environment. This process causes the frozen water in the product to sublime, or evaporate, directly from a solid to a gas, without passing through a liquid state.

The Lyophiliser is typically made up of three main components: a condenser, a vacuum chamber, and a drying chamber. The product to be dried is placed in the drying chamber and frozen to a very low temperature using a refrigeration system. The vacuum is then applied to the chamber, which lowers the pressure and allows the water molecules in the product to vaporize and sublime.

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The water vapor is then drawn out of the drying chamber and directed to the condenser, where it is converted back into a liquid state and collected. The remaining dry product is left in the drying chamber, where it can be stored and transported without the risk of degradation due to moisture.

Overall, the pharmaceutical Lyophiliser works by using a combination of low temperature and vacuum to remove moisture from pharmaceutical products, preserving their integrity and stability. This process is particularly important for sensitive drugs or biologics, which can be easily degraded by exposure to moisture or high temperatures.

Performance Qualification (PQ)

Performance Qualification (PQ) is a critical stage in the validation process of a pharmaceutical Lyophiliser. During PQ, the equipment is tested to ensure that it consistently performs within the specified limits and produces products of consistent quality. The following are some of the tests that may be performed during the PQ of a pharmaceutical Lyophiliser:

- a) **Temperature Mapping:** Temperature mapping is done to ensure that the Lyophiliser maintains a consistent temperature throughout the chamber. This is done by placing temperature sensors at various locations inside the chamber and monitoring the temperature over time.
- b) **Vacuum Integrity Testing:** Vacuum integrity testing is performed to ensure that the vacuum level inside the Lyophiliser is consistent and within the specified range. This is typically done by performing a pressure decay test.
- c) **Condenser Capacity Testing:** Condenser capacity testing is done to ensure that the Lyophiliser condenser has sufficient capacity to handle the load being processed. This is done by measuring the amount of ice that forms on the condenser during a cycle.
- d) **Product Uniformity Testing:** Product uniformity testing is done to ensure that the Lyophiliser produces products of consistent quality. This is typically done by analyzing samples of the lyophilized product for attributes such as moisture content, particle size, and appearance.
- e) **Container Closure Integrity Testing:** Container closure integrity testing is performed to ensure that the vials or other containers used to hold the lyophilized product are sealed properly and do not leak.
- f) **Cycle Time Optimization:** Cycle time optimization is done to determine the optimal cycle time for the Lyophiliser. This is done by varying the cycle time and monitoring the product quality at each time point.

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These are some of the tests that may be performed during the performance qualification of a pharmaceutical Lyophiliser. The specific tests may vary depending on the Lyophiliser design and intended use.

Performance verification run with Mannitol:

Mannitol can be used as a surrogate material to challenge the performance of a Lyophiliser during performance qualification. The following steps can be taken to challenge the Lyophiliser with mannitol:

- a) Prepare the mannitol solution: Prepare a mannitol solution with a concentration of 5-10% (w/v) in distilled water. The solution should be filtered through a 0.22 µm filter to remove any particulate matter.
- b) Fill the vials: Fill the vials with the mannitol solution, ensuring that each vial is filled to the same level.
- c) Load the Lyophiliser: Load the vials onto the Lyophiliser shelves, ensuring that they are evenly distributed and balanced.
- d) Start the lyophilization cycle: Start the lyophilization cycle according to the Lyophiliser standard operating procedures.
- e) Monitor the process: Monitor the lyophilization process, including the temperature, pressure, and time. Record the critical parameters, such as the shelf temperature and vacuum pressure.
- f) Evaluate the product: After the lyophilization cycle is complete, evaluate the product for critical attributes such as dryness factor and cake density.
- g) Analyze the results: Analyze the results and compare them to the acceptance criteria established for the Lyophiliser. If the results are within the acceptance criteria, the Lyophiliser can be considered qualified for use.

In terms of critical attributes, the dryness factor and cake density are important parameters to evaluate during performance qualification. The dryness factor can be calculated by dividing the initial weight of the vial and product by the weight of the dried product. The cake density can be determined by measuring the weight of the dried product and the volume of the vial. The critical values for these parameters will depend on the specific product being lyophilized and should be established in advance.

Autoclave/ Steam Sterilization

Working Principle

Moist heat sterilizers are commonly used in pharmaceutical manufacturing to ensure that equipment, instruments, and other materials are free from microbial

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contamination. The working principle of a moist heat sterilizer involves exposing the materials to high temperature and pressure to kill any microorganisms present.

The basic steps in the working of a moist heat sterilizer are as follows:

- a) **Loading:** The items to be sterilized are placed in the sterilization chamber. The chamber is then sealed to prevent any air from escaping or entering.
- b) **Air Removal:** The sterilizer is then filled with steam, which displaces the air in the chamber. The air removal process is important as it ensures that steam can penetrate all areas of the materials to be sterilized.
- c) **Sterilization:** The chamber is heated to a temperature of around 121°C (250°F) and the materials are exposed to the steam for a specific period of time. The exposure time depends on the type and amount of material being sterilized. During this process, the heat and pressure cause the destruction of microorganisms.
- d) **Cooling:** After the sterilization cycle is complete, the chamber is cooled down slowly to prevent any damage to the materials. The cooling time can vary depending on the size and type of materials being sterilized.
- e) **Unloading:** Once the cooling cycle is complete, the chamber is opened, and the sterilized materials are removed. The materials are now considered to be sterile and can be used in the manufacturing process.

Moist heat sterilization is a widely used method in the pharmaceutical industry due to its effectiveness in killing microorganisms and its ability to penetrate materials to be sterilized. It is important to follow the manufacturer's guidelines for operation and maintenance to ensure that the sterilizer is functioning properly and producing reliable results.

Performance Qualification (PQ)

Performance Qualification (PQ) is a critical stage in the validation process of a pharmaceutical steam sterilizer or autoclave. During PQ, the equipment is tested to ensure that it consistently performs within the specified limits and produces products of consistent quality. The following are some of the tests that may be performed during the PQ of a pharmaceutical steam sterilizer or autoclave:

- a) **Temperature Mapping:** Temperature mapping is done to ensure that the sterilizer maintains a consistent temperature throughout the chamber. This is done by placing temperature sensors at various locations inside the chamber and monitoring the temperature over time.
- b) **Steam Quality Testing:** Steam quality testing is performed to ensure that the steam used in the sterilization process is of sufficient quality. This is typically

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done by analyzing the steam for attributes such as moisture content and non-condensable gases.

- c) **Bowie-Dick Test:** The Bowie-Dick test is performed to ensure that the sterilizer is functioning properly and that air is being removed from the chamber before sterilization. This is typically done by placing a test pack containing a chemical indicator inside the chamber and monitoring the results.
- d) **Biological Indicator Testing:** Biological indicator testing is performed to ensure that the sterilization process is effective in killing microorganisms. This is typically done by placing a test pack containing a biological indicator inside the chamber and monitoring the results.
- e) **Load Configuration Testing:** Load configuration testing is performed to ensure that the sterilizer can handle the load being processed. This is typically done by running a cycle with a load that represents the maximum load the sterilizer is expected to handle and monitoring the results.
- f) **Cycle Time Optimization:** Cycle time optimization is done to determine the optimal cycle time for the sterilizer. This is done by varying the cycle time and monitoring the product quality at each time point.

These are some of the tests that may be performed during the performance qualification of a pharmaceutical steam sterilizer or autoclave. The specific tests may vary depending on the sterilizer's design and intended use.

Aseptic Filling Isolator

Working Principle

A pharmaceutical aseptic processing isolator is a highly specialized containment system used in the pharmaceutical industry to create a sterile environment for the production of sterile drugs or biologics. The primary function of an isolator is to isolate the drug product from the surrounding environment, including airborne particles, microorganisms, and human contact.

The working principle of a pharmaceutical aseptic processing isolator is based on the use of a highly controlled and closed environment. The isolator is usually designed as a closed system with a double-layered structure. The outer layer acts as a barrier to protect against environmental contaminants, while the inner layer is where the sterile product is produced. The isolator has a positive air pressure differential, which prevents air and contaminants from entering the chamber.

The isolator is equipped with a series of HEPA (high-efficiency particulate air) filters that remove airborne particles as small as 0.3 microns. These filters are typically placed at

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the inlet and outlet of the isolator, as well as within the isolator itself. The isolator also features a series of sterilization systems, such as vaporized hydrogen peroxide, which are used to sterilize the inner environment and surfaces.

Operators working within the isolator typically wear sterile gowns, gloves, and masks to prevent any human-borne contaminants from entering the sterile environment. The materials used to construct the isolator are also selected for their ability to withstand sterilization methods and their low particle generation properties.

Overall, the pharmaceutical aseptic processing isolator works by providing a highly controlled and sterile environment for the production of sterile drugs and biologics, preventing environmental contaminants and human contact from contaminating the final product.

Performance Qualification (PQ)

Performance qualification of a pharmaceutical aseptic filling isolator or sterility testing isolator typically involves several tests to ensure that the isolator is operating as intended and is capable of maintaining a sterile environment. Some of the tests that may be performed during performance qualification include:

- a) Airflow velocity and pattern testing: This test evaluates the airflow patterns and velocities within the isolator to ensure that the air is flowing properly and creating a clean environment.
- b) Leak testing: This test checks for any leaks in the isolator that could compromise the sterile environment.
- c) Pressure decay testing: This test measures the pressure changes within the isolator over time to detect any leaks or breaches in the system.
- d) Microbial challenge testing: This test involves introducing a known concentration of microorganisms into the isolator to evaluate the effectiveness of the sterilization processes and the ability of the isolator to maintain a sterile environment.
- e) Sterilization cycle validation: This test evaluates the effectiveness of the sterilization process to ensure that it is capable of killing all microorganisms within the isolator.
- f) Particle counting: This test measures the concentration of particles within the isolator to ensure that it meets the specified cleanroom classification.
- g) Temperature and humidity mapping: This test evaluates the temperature and humidity distribution within the isolator to ensure that it is consistent throughout the system.
- h) Recovery testing: This test evaluates the ability of the isolator to recover from a potential breach in the sterile environment.

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The specific tests performed during performance qualification may vary depending on the specific requirements of the isolator and the regulations governing its use.

Difference between Aseptic Filling Isolator and Sterility Testing Isolator

An aseptic filling isolator and a sterility testing isolator are both types of isolators used in pharmaceutical manufacturing. However, their functions and purposes are different.

An aseptic filling isolator is designed to provide a sterile environment for the filling of sterile products, such as injectable drugs, to prevent contamination. The isolator is designed to maintain a positive pressure to prevent external contamination from entering the isolator, while also filtering the air that enters the isolator to remove any particles or microorganisms. Personnel working in the isolator wear sterile clothing and gloves, and the equipment used in the isolator is sterilized prior to use.

On the other hand, a sterility testing isolator is designed for the testing of finished pharmaceutical products for sterility. The isolator provides a controlled environment for testing, with a negative pressure to prevent the escape of any contaminants. The isolator also has HEPA filters to prevent any external contamination from entering the isolator during testing. Personnel working in the isolator wear sterile clothing and gloves, and the equipment used in the isolator is sterilized prior to use.

In summary, while both isolators are designed to maintain sterile environments, the aseptic filling isolator is used for filling sterile products, while the sterility testing isolator is used for testing finished pharmaceutical products for sterility.

Filling, bunging, and sealing Machine

Working Principle

An aseptic injection filling, bunging, and sealing machine is used for filling and packaging sterile liquid products, such as pharmaceuticals, biologics, and sterile food products, into vials or other containers.

The working principle of an aseptic injection filling, bunging, and sealing machine involves several steps, including:

- a) **Sterilization:** The machine components that come into contact with the product or the container are sterilized using various methods, such as steam, hydrogen peroxide, or irradiation.

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- b) Filling: The sterile liquid product is pumped into the container through a needle or nozzle, which is inserted into the container under sterile conditions.
- c) Bunging: A sterile stopper or bung is inserted into the container, sealing the product inside and preventing contamination from external sources.
- d) Sealing: The container is sealed using a cap, crimp or snap-on closure, or other sealing mechanism to maintain the sterility of the product.

The entire process is carried out in a controlled environment, such as a cleanroom or isolator, to prevent any contamination from entering the product or the packaging. The machine is designed to minimize the risk of contamination by incorporating various features, such as laminar airflow, HEPA filters, and sterile barriers.

In summary, the working principle of an aseptic injection filling, bunging, and sealing machine involves the use of sterile components and a controlled environment to fill and package sterile liquid products into containers.

Performance Qualification (PQ)

The performance qualification of a pharmaceutical liquid injection filling, bunging, and sealing machine involves several tests to ensure that the machine is operating as intended and is capable of producing safe and effective pharmaceutical products. Some of the tests that may be performed during performance qualification include:

- a) Accuracy and precision testing: This test evaluates the accuracy and precision of the machine's liquid filling process to ensure that it dispenses the correct volume of liquid consistently.
- b) Container closure integrity testing: This test checks for any leaks or defects in the container closure system to ensure that it is capable of maintaining a sterile environment and protecting the product from contamination.
- c) Sterilization process validation: This test evaluates the effectiveness of the sterilization process used to prepare the machine for use to ensure that it is capable of killing all microorganisms that may be present.
- d) Linearity and range testing: This test evaluates the machine's ability to accurately fill a range of container sizes and volumes.
- e) Bunging and sealing performance testing: This test evaluates the machine's ability to properly insert bungs and seals onto containers to ensure that they are secure and leak-free.
- f) Cleaning and sanitization validation: This test evaluates the effectiveness of the cleaning and sanitization processes used to maintain the machine's cleanliness and prevent contamination.
- g) Particle counting: This test measures the concentration of particles within the filling area to ensure that it meets the specified cleanroom classification.

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- h) **Process capability analysis:** This test evaluates the machine's ability to consistently produce pharmaceutical products that meet the specified quality requirements.

The specific tests performed during performance qualification may vary depending on the specific requirements of the machine and the regulations governing its use.

Washer

Working Principle

Pharmaceutical glass bottle (vial) and ampoule washing machines operate on the principle of mechanical washing and sterilization. These machines use a combination of water, detergent, and high-pressure air to clean and sterilize glass vials or ampoules before they are filled with pharmaceutical products.

The working principle of a typical vial washing machine involves several steps:

- a) **Loading and Sorting:** The vials or ampoules are loaded onto the machine's conveyor system and sorted to ensure they are properly aligned and spaced apart.
- b) **Pre-Washing:** The vials or ampoules are rinsed with water to remove any loose particles or debris.
- c) **Main Washing:** The vials or ampoules are subjected to a series of wash cycles that involve the use of detergent and water. The machine uses high-pressure jets to direct the cleaning solution onto the inside and outside of the vials or ampoules, removing any residual contaminants.
- d) **Rinsing:** After the main washing cycle, the vials or ampoules are rinsed with water to remove any remaining detergent or debris.
- e) **Sterilization:** The vials or ampoules are subjected to a sterilization cycle, which typically involves heating the vials or ampoules to a high temperature using hot air or steam. This process ensures that any remaining microorganisms are killed.
- f) **Drying:** Finally, the vials or ampoules are dried using hot air before they are removed from the machine and sent for filling.

The entire process is carried out automatically and is designed to ensure that the vials or ampoules are thoroughly cleaned and sterilized before they are filled with pharmaceutical products. This helps to prevent contamination and ensures the safety and efficacy of the final product.

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Performance Qualification (PQ)

The PQ testing for a washing machine typically includes:

- a) **Cleaning Efficiency:** This involves testing the washing machine's ability to remove soil from vials, stoppers, and other equipment. This is typically done by visual inspection, particulate analysis, or chemical testing.
- b) **Rinse Efficiency:** This involves testing the washing machine's ability to remove residual cleaning agents from vials, stoppers, and other equipment. This is typically done by chemical testing or conductivity testing.
- c) **Sanitization Efficiency:** This involves testing the washing machine's ability to reduce or eliminate microorganisms from vials, stoppers, and other equipment. This is typically done by microbial challenge testing.
- d) **Cycle Time:** This involves testing the washing machine's ability to perform the cleaning and sanitization process within a predetermined time frame.
- e) **Reproducibility:** This involves testing the washing machine's ability to consistently achieve the predetermined level of cleanliness over multiple runs.
- f) **Capacity:** This involves testing the washing machine's ability to clean and sanitize the required number of vials, stoppers, and other equipment per cycle.
- g) **Changeover:** This involves testing the washing machine's ability to switch from one product effectively and efficiently to another.

By performing these PQ testing, it can be ensured that the washing machine is qualified and validated for use in the manufacture of sterile injectable drugs.

Depyrogenation and Sterilization tunnel

Working Principle

Pharmaceutical depyrogenation and sterilization tunnels are important pieces of equipment used in the manufacturing of sterile injectable drugs. These tunnels work by subjecting the containers, such as vials or ampoules, to high temperatures and/or exposure to dry heat, in order to remove pyrogens (substances that can cause fever) and microorganisms.

The depyrogenation process typically involves the use of high-temperature dry heat to remove pyrogens from the surface of containers. This is typically done in a tunnel-like chamber, where containers are transported on a conveyor belt through the chamber while being exposed to hot air at a temperature of around 300°C for a set period of time.

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Sterilization, on the other hand, involves the complete destruction or removal of all microorganisms from the containers. This can be done using various methods, such as steam, dry heat, or chemical sterilization. In the case of a sterilization tunnel, containers are typically subjected to a high-temperature dry heat treatment, where they are exposed to hot air at a temperature of around 250-300°C for a set period of time.

Both depyrogenation and sterilization are critical steps in the manufacturing of sterile injectable drugs, as they help to ensure that the final product is free from harmful contaminants that could cause harm to patients. The use of depyrogenation and sterilization tunnels helps to ensure that these processes are carried out efficiently and effectively, in a controlled and validated environment, with the ultimate goal of producing safe and effective medicines.

Performance Qualification (PQ)

The performance qualification of a pharmaceutical depyrogenation and sterilization tunnel used in sterile injectable drug manufacturing involves several tests to ensure that the tunnel is operating as intended and is capable of producing sterile and pyrogen-free products. Some of the tests that may be performed during performance qualification include:

- a) Temperature mapping: This test evaluates the temperature distribution within the tunnel to ensure that it is consistent and meets the specified requirements.
- b) Airflow mapping: This test evaluates the airflow patterns within the tunnel to ensure that they are consistent and meet the specified requirements.
- c) Sterilization process validation: This test evaluates the effectiveness of the sterilization process used to sterilize the products passing through the tunnel.
- d) Depyrogenation process validation: This test evaluates the effectiveness of the depyrogenation process used to remove endotoxins from the products passing through the tunnel.
- e) Biological indicator testing: This test uses biological indicators to monitor the sterilization process and ensure that it is effective in killing all microorganisms.
- f) Endotoxin testing: This test evaluates the level of endotoxins in the products passing through the tunnel to ensure that they meet the specified requirements.
- g) Cleaning and sanitization validation: This test evaluates the effectiveness of the cleaning and sanitization processes used to maintain the tunnel's cleanliness and prevent contamination.

The specific tests performed during performance qualification may vary depending on the specific requirements of the tunnel and the regulations governing its use.

Laminar Air flow hood /Biosafety cabinet

Working Principle

Pharmaceutical Laminar Air Flow Hood/Biosafety Cabinet is an important equipment used in sterile injectable drug manufacturing to provide a sterile work environment and protect the product and operator from contamination. The working principle of these cabinets involves the use of high-efficiency particulate air (HEPA) filters to purify the air.

In a Laminar Air Flow Hood, the air is drawn from the surrounding area and passed through a pre-filter and then a HEPA filter. The HEPA filter removes particles as small as 0.3 microns, including bacteria, viruses, and other contaminants, from the air. The purified air is then passed through the cabinet in a laminar flow, which means the air flows in a smooth, uniform manner without turbulence, creating a clean air environment in the work area.

In a Biosafety Cabinet, the air is also drawn from the surrounding area and passed through a pre-filter and a HEPA filter. However, in addition to the HEPA filter, the cabinet also has a front opening with a sash that can be adjusted to provide a barrier between the work area and the operator. The air flows in a laminar flow across the work surface, and any potential contaminants are captured by the HEPA filter.

Both Laminar Air Flow Hoods and Biosafety Cabinets have different classes based on the level of protection they provide. The cabinets are tested and certified to meet specific standards by regulatory authorities to ensure their performance.

In summary, Laminar Air Flow Hoods and Biosafety Cabinets work by filtering the air in the surrounding environment, removing contaminants, and creating a clean and sterile work area for the manufacturing of sterile injectable drugs.

Performance Qualification (PQ)

During the performance qualification (PQ) of a Pharmaceutical Laminar air flow hood or Biosafety cabinet used in sterile injectable drug manufacturing, the following tests should be performed:

- a) **Airflow Velocity Test:** This test measures the velocity of the air flowing through the laminar air flow hood or biosafety cabinet. The test is performed using an anemometer and ensures that the air flow is within the range specified in the design qualification (DQ).

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- b) Airflow Pattern Test: This test ensures that the air flow is uniform and the air is not re-circulating within the cabinet. Smoke or tracer gas can be used to visualize the airflow pattern.
- c) Particulate Count Test: This test measures the number of airborne particles of a certain size within the laminar air flow hood or biosafety cabinet. The test should be performed in accordance with ISO 14644-1 or other relevant standards.
- d) Filter Integrity Test: This test ensures that the HEPA filters are functioning correctly and are not allowing particles to pass through. The test should be performed in accordance with ISO 14644-3 or other relevant standards.
- e) Noise Level Test: This test measures the noise level produced by the laminar air flow hood or biosafety cabinet and ensures that it is within the specified limits.
- f) Lighting Intensity Test: This test measures the intensity of the light inside the laminar air flow hood or biosafety cabinet and ensures that it is within the specified limits.
- g) Microbial Contamination Test: This test measures the level of microbial contamination inside the laminar air flow hood or biosafety cabinet. The test should be performed using appropriate methods and standards, such as ISO 14698-1.

These tests ensure that the laminar air flow hood or biosafety cabinet is operating within the specified parameters and is suitable for use in sterile injectable drug manufacturing.

PFS (Pre-fill syringe) machine

Benefits of PFS over conventional filling method

Pre-Fill Syringe (PFS) machines are used in the pharmaceutical industry to fill syringes with sterile injectable drugs. They offer several benefits over traditional vial filling methods, including increased product safety, reduced risk of contamination, and improved patient convenience. Here are some of the key benefits of PFS machines and how they work:

- a) Reduced risk of contamination: PFS machines are designed to be a closed system, which reduces the risk of contamination during the filling process. This is particularly important for sterile injectable drugs, which need to be free from any contaminants that could cause harm to the patient.

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- b) Increased product safety: PFS machines offer improved product safety because they allow for precise dosing of the drug. This reduces the risk of over or under-dosing, which can lead to adverse effects or ineffective treatment.
- c) Improved patient convenience: PFS machines offer improved patient convenience because they allow for self-administration of drugs. This is particularly important for patients who require frequent injections or have difficulty with traditional vial and syringe methods.
- d) Cost-effective: PFS machines can be a cost-effective solution for pharmaceutical companies because they eliminate the need for vials, stoppers, and seals, which can be expensive to produce and sterilize.

How PFS machines work:

PFS machines work by automatically filling syringes with a precise amount of drug and then sealing them. The process involves the following steps:

- a) Syringe feeding: The machine feeds empty syringes into the filling station.
- b) Filling: The machine fills the syringes with a precise amount of drug.
- c) Plunger insertion: The machine inserts the plunger into the syringe to create a seal.
- d) Stopper insertion: The machine inserts a stopper into the syringe to create a second seal.
- e) Capping: The machine caps the syringe with a sterile cap.
- f) Inspection: The filled syringes are inspected for quality and integrity before being released for distribution.

Overall, PFS machines offer several benefits over traditional vial filling methods and are an important tool for pharmaceutical companies to ensure the safety, convenience, and cost-effectiveness of their sterile injectable drugs.

Performance Qualification (PQ)

During the performance qualification (PQ) of a pharmaceutical pre-filled syringe (PFS) machine used in sterile injectable drug manufacturing, the following tests should be performed:

- a) Dose Accuracy Test: This test verifies that the PFS machine dispenses the correct dose of the drug into each syringe. The test is performed using a precision scale and/or analytical methods to measure the weight or volume of the drug dispensed.
- b) Particle Count Test: This test measures the number of particles of a certain size in the drug solution filled in the syringes. The test should be performed in accordance with ISO 14644-1 or other relevant standards.

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- c) **Leak Test:** This test ensures that the syringe is properly sealed and prevents any leakage of the drug solution. The test is performed by visually inspecting the syringe for any signs of leakage or by performing a pressure decay test.
- d) **Sterility Test:** This test verifies that the PFS machine is capable of producing sterile products. The test should be performed using appropriate methods and standards, such as USP (United States Pharmacopeia) <71>.
- e) **Compatibility Test:** This test determines the compatibility of the drug product with the syringe materials and ensures that the drug does not react with the syringe or cause any degradation of the drug or syringe materials.
- f) **Functionality Test:** This test ensures that the PFS machine is operating correctly and all components are functioning properly. This includes verifying that the syringe is properly loaded, the drug solution is properly filled, and the syringe is properly sealed.
- g) **Cleaning Validation:** This test verifies that the cleaning process used for the PFS machine is effective and ensures that there is no cross-contamination between different drug products.

These tests ensure that the PFS machine is operating within the specified parameters and is suitable for use in sterile injectable drug manufacturing.

HVAC

Working Principle

HVAC (Heating, Ventilation, and Air Conditioning) systems play a crucial role in maintaining a controlled environment in drug manufacturing facilities and clean rooms. The working principle of an HVAC system used in such facilities involves the following steps:

- a) **Air intake and filtration:** The HVAC system takes in outside air and filters it to remove any contaminants or particles that could compromise the clean environment inside the facility.
- b) **Conditioning:** The air is then conditioned by adjusting its temperature, humidity, and pressure to meet the specific requirements of the facility. This is important because different drug manufacturing processes may require different environmental conditions to ensure product quality and safety.
- c) **Distribution:** The conditioned air is distributed throughout the facility using a network of ducts and vents. In clean rooms, the air is typically distributed in a laminar flow pattern to minimize the buildup of particles and contaminants.

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- d) Exhaust: As the conditioned air circulates in the facility, it picks up contaminants and particles. The HVAC system then exhausts this contaminated air to maintain a clean environment inside the facility.
- e) Monitoring and control: The HVAC system is equipped with sensors and controls to continuously monitor the temperature, humidity, pressure, and particle levels inside the facility. The system automatically adjusts the conditioning and distribution of air to maintain the desired environment.

Overall, the working principle of an HVAC system used in drug manufacturing facilities and clean rooms is to create a controlled environment that meets the specific requirements of the facility and ensures the safety and quality of the products being manufactured.

Performance Qualification (PQ)

During the performance qualification (PQ) of a pharmaceutical HVAC (heating, ventilation, and air conditioning) system, specifically the air handling units (AHUs) used in the manufacturing of sterile injectable drugs, the following tests should be performed:

- a) Airflow Velocity Test: This test measures the velocity of air moving through the AHUs and ensures that it meets the specified limits. The test is performed using an anemometer and should be performed at different points along the air path.
- b) Air Change Rate Test: This test measures the rate at which the air is changed within the cleanroom and ensures that it meets the specified limits. The test should be performed in accordance with ISO 14644-3 or other relevant standards.
- c) Differential Pressure Test: This test measures the pressure differential between the cleanroom and adjacent areas and ensures that it meets the specified limits. The test should be performed at different points along the air path.
- d) Temperature and Humidity Test: This test measures the temperature and humidity within the cleanroom and ensures that it meets the specified limits. The test should be performed at different points along the air path.
- e) Airborne Particle Count Test: This test measures the number of particles of a certain size in the air and ensures that it meets the specified limits. The test should be performed in accordance with ISO 14644-1 or other relevant standards.
- f) Recovery Test: This test measures the time taken by the AHUs to recover the cleanroom to its specified environmental conditions after a disruption, such as a door opening. The test should be performed at different points along the air path.
- g) Filter Integrity Test: This test ensures that the filters used in the AHUs are working properly and are not allowing any particles to pass through. The test

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should be performed in accordance with relevant standards, such as ASTM F3150.

- h) **Microbial Air Sampling:** This test measures the level of microorganisms in the air and ensures that it meets the specified limits. The test should be performed using appropriate methods and standards, such as USP <1116>.

These tests ensure that the HVAC system, specifically the air handling units, are functioning correctly and providing the required environmental conditions for the manufacturing of sterile injectable drugs.

Computer System Validation Requirements

The specific tests that need to be performed for computer system validation (CSV) of critical pharmaceutical equipment will depend on the equipment and the specific requirements of the regulatory authority overseeing the validation. However, some common tests that may be included in the CSV process are:

Installation Qualification (IQ) testing: This involves testing the installation of the equipment to ensure it meets the manufacturer's specifications, is properly installed and configured, and has been installed in an appropriate environment.

Operational Qualification (OQ) testing: This involves testing the equipment's operational functions to ensure that it operates within its specified parameters and meets the regulatory requirements. This includes testing the equipment's performance under different operating conditions and ensuring that it can perform the required tasks.

Performance Qualification (PQ) testing: This involves testing the equipment's performance in a simulated or real-world environment to ensure that it meets the regulatory requirements and performs as expected. This may involve testing the equipment's reliability, accuracy, precision, and repeatability.

- a) **Security testing:** This involves testing the equipment's security features to ensure that it meets the regulatory requirements for data privacy and security. This may include testing access controls, data encryption, and data backups.
- b) **User Acceptance testing (UAT):** This involves testing the equipment's user interface and user experience to ensure that it is intuitive and easy to use. This testing is typically performed by end-users to ensure that the equipment meets their needs and is fit for purpose.
- c) **Data Integrity testing:** This involves testing the equipment's ability to capture, store, and retrieve data accurately and securely, ensuring that the data generated by the equipment is complete, consistent, and accurate.

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- d) **Change Control testing:** This involves testing the equipment's ability to manage changes in the system, including software and hardware upgrades, and ensure that the equipment continues to meet regulatory requirements.

Overall, the validation process should ensure that the equipment meets the regulatory requirements for safety, efficacy, and quality, and that it is fit for its intended use in the pharmaceutical manufacturing process.

Process validation

Process validation is a critical requirement for pharmaceutical sterile drug manufacturing. It involves establishing documented evidence that a manufacturing process consistently produces products that meet the required quality and safety standards. In this context, the critical steps and importance of process validation are discussed below,

Critical Steps:

- a) **Process Design:** The first step in process validation is to design the manufacturing process, including the equipment, raw materials, and personnel involved. The process should be designed to ensure that the product is manufactured consistently, and any critical quality attributes are addressed.
- b) **Process Qualification:** Once the process design is established, the next step is process qualification, which involves determining the critical process parameters and their acceptable ranges. The critical parameters are monitored and controlled during manufacturing to ensure consistent product quality.
- c) **Process Validation:** The third step in process validation involves testing the manufacturing process under normal operating conditions to establish that it consistently produces products that meet the required quality and safety standards.

Importance:

- a) **Ensures Product Quality:** Process validation is essential to ensuring that pharmaceutical sterile drug products are of high quality and free from contaminants. It helps to identify critical process parameters that affect the product's quality and establishes their acceptable ranges.
- b) **Regulatory Compliance:** Process validation is a regulatory requirement for pharmaceutical sterile drug manufacturing. Compliance with these requirements is necessary to obtain regulatory approvals for marketing and selling pharmaceutical sterile drugs.

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- c) **Cost Reduction:** Process validation can help identify inefficiencies in the manufacturing process and reduce the risk of product failures, which can lead to product recalls and additional costs.
- d) **Continuous Improvement:** Process validation is not a one-time event. It is an ongoing process that allows for continuous improvement of the manufacturing process. By monitoring critical process parameters, the manufacturing process can be optimized to improve product quality and reduce costs.

Pharmaceutical manufacturers must follow the process validation requirements to ensure that their products are safe and effective for use.

Digital Technology Arena

Digital technological advancements in pharma manufacturing using AI and machine learning have revolutionized the way drugs are developed, tested, and manufactured. Machine learning (ML) and artificial intelligence (AI) have the potential to revolutionize sterile drug manufacturing by enabling continuous process improvement and critical process control. Here are some ways in which ML and AI can be used in this context:

- a) **Predictive maintenance:** ML algorithms can be used to analyze real-time data from equipment sensors and predict when maintenance is required. This can help reduce downtime and prevent costly equipment failures.
- b) **Quality control:** AI can be used to analyze large volumes of data from quality control tests and identify patterns that could indicate issues with the manufacturing process. This can help improve the quality and consistency of the final product.
- c) **Process optimization:** ML algorithms can be used to analyze data from the manufacturing process and identify areas where improvements can be made. For example, the algorithms can identify variables that affect yield or product quality and suggest changes to the process to optimize these variables.
- d) **Risk assessment:** AI can be used to analyze data on the manufacturing process and identify potential risks to product quality or safety. This can help manufacturers proactively identify and address potential issues before they become problems.
- e) **Supply chain optimization:** ML algorithms can be used to analyze data from the supply chain, including raw materials and shipping logistics, and identify areas where improvements can be made to increase efficiency and reduce costs.

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- f) Overall, the use of ML and AI in sterile drug manufacturing can help improve the efficiency, quality, and safety of the manufacturing process, while also reducing costs and improving profitability for manufacturers.

Regulatory Recommendation

The pharmaceutical and healthcare industry is highly regulated, and there are several guidelines and regulations that need to be followed to ensure the quality, safety, and efficacy of critical equipment, systems, instruments, and computer systems used in these industries. Some of the best available guidelines and regulations for qualification and validation of critical equipment, systems, instruments, and computer systems in pharmaceutical and healthcare industries are:

- a) Current Good Manufacturing Practices (cGMP): The cGMP regulations provide minimum requirements for the methods, facilities, and controls used in manufacturing, processing, and packing of pharmaceutical products.
- b) International Council for Harmonization (ICH) Guidelines: The ICH guidelines provide a unified approach to the development, implementation, and registration of pharmaceutical products worldwide. ICH guidelines cover various aspects of pharmaceutical manufacturing, including validation and qualification.
- c) United States Pharmacopeia (USP): The USP provides standards for the quality of medicines, dietary supplements, and healthcare products, including guidelines for validation and qualification.
- d) Food and Drug Administration (FDA) Regulations: The FDA regulations provide guidance on the requirements for validation and qualification of critical equipment, systems, instruments, and computer systems used in the pharmaceutical and healthcare industries.
- e) European Medicines Agency (EMA) Regulations: The EMA regulations provide guidance on the requirements for validation and qualification of critical equipment, systems, instruments, and computer systems used in the pharmaceutical and healthcare industries in Europe.
- f) ISO Standards: The International Organization for Standardization (ISO) provides standards for the development, implementation, and maintenance of quality management systems, including guidelines for validation and qualification.

It is important to note that the specific guidelines and regulations applicable to a particular critical equipment, system, instrument, or computer system will depend on the country or region where it is being used, as well as the specific requirements of the pharmaceutical or healthcare industry. It is essential to consult with regulatory

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authorities and seek expert advice to ensure compliance with all relevant guidelines and regulations.

Conclusion

In conclusion, qualification and validation are fundamental processes in the pharmaceutical and healthcare industries to ensure that products and processes are safe, effective, and of high quality. These processes involve verifying and documenting that equipment, facilities, and systems are installed and operated according to their intended design and purpose, and that processes consistently produce results that meet predetermined quality specifications.

It is crucial for pharmaceutical and healthcare companies to adhere to regulatory guidelines and standards when conducting qualification and validation activities. These guidelines help to ensure that products and processes are consistent, reliable, and meet regulatory requirements. Companies that fail to comply with these guidelines risk significant consequences, including product recalls, regulatory sanctions, and damage to their reputation.

By conducting thorough qualification and validation processes and maintaining up-to-date documentation, pharmaceutical and healthcare companies can ensure the safety, efficacy, and quality of their products, which ultimately benefits patients and the healthcare industry as a whole.

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